

Viscous State Effect on the Activity of Fe Nanocatalysts

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ABSTRACT Many applications of nanotubes and nanowires require controlled bottom-up engineering of these nanostructures. In catalytic chemical vapor deposition, the thermo-kinetic state of the nanocatalysts near the melting point is one of the factors ruling the morphology of the grown structures. We present theoretical and experimental evidence of a viscous state for nanoparticles near their melting point. The state exists over a temperature range scaling inversely with the catalyst size, resulting in enhanced self-diffusion and fluidity across the solid–liquid transformation. The overall effect of this phenomenon on the growth of nanotubes is that, for a given temperature, smaller nanoparticles have a larger reaction rate than larger catalysts.

KEYWORDS: nanocatalysis · thermodynamics · kinetics · growth rate

Catalytic chemical vapor deposition (CVD) is an effective site/size-selective synthesis technique.^{1–7} The properties of the catalytic particle play a key role in the nucleation and growth processes, as well as in the final nanostructure morphology.^{7–11} At the nanoscale, two aspects are inter-related: the possibility of bulk and surface diffusion of the feedstock in and on the nanoparticles^{12–14} and the thermodynamic state of the catalyst interacting with the growing nanostructure.^{12–16} Feedstock diffusion has been thoroughly investigated in previous works,^{12–14} but the thermodynamic issue has not been explored in its full complexity.

At the nanoscale, the macroscopic *dichotomy* liquid/solid is not well-defined, and the issue of size-induced viscosity η arises. This affects the catalyst activity by changing its melting point,^{15,16} solubility,^{17,18} and tendency to coarsen.¹⁹ Kinetic properties, such as diffusion coefficients²⁰ and viscosity, can be used to study the particle state as a function of temperature and size. These quantities, intrinsically related to the time frame of the underlying phenomenon, can be extracted by monitoring an out-of-equilibrium effect defining rate of events.

Thus, for a fixed rate of events, the long- or short-time frames of the system can be inferred by the inverse of the monitored value. Legitimate observables can be selected among diffusion,²⁰ viscoelasticity, and thermal conductivity coefficients. Within this approach, it is possible to describe phenomena like dynamic coexistence^{19,21–23} in systems having short-order crystallinity and viscous response to external forces, such as the stress generated by a growing nanostructure. For example, nanocatalysts can change shape as a result of interactions with the carbon nanotubes (CNT) walls.⁴ This can be described by the time fluctuations of a statistical-bond-length order parameter¹⁶ known as the Lindemann index, δ .²⁴

Here, we analyze the nanoparticles near the melting point by investigating, as functions of size, the melting depression and the self-diffusion coefficient D leading to viscosity ($\eta \propto 1/D$).

RESULTS AND DISCUSSION

In nanoparticles, the melting point is inversely proportional to the diameter through the Gibbs–Thomson (GT) relation.^{9,19,21,22} We describe this by using classical molecular dynamics (MD) (see Methods for details). We characterize the melting by the change in internal energy, with associated latent heat, and by the variation in the Lindemann index statistical-bond-length order parameter, δ , with respect to the temperature T . Fick's law²⁵ describes the exponential behavior of the bulk diffusion as a function of T . For bulk iron at the melting temperature T_m , $D(T_m) \approx 4.16 \times 10^{-5} \text{ cm}^2/\text{s}$.^{26–29} This measures the diffusion at the formation of the liquid state. When size decreases to the nanometer scale, one might expect a variation on $D(T_m)$ be-

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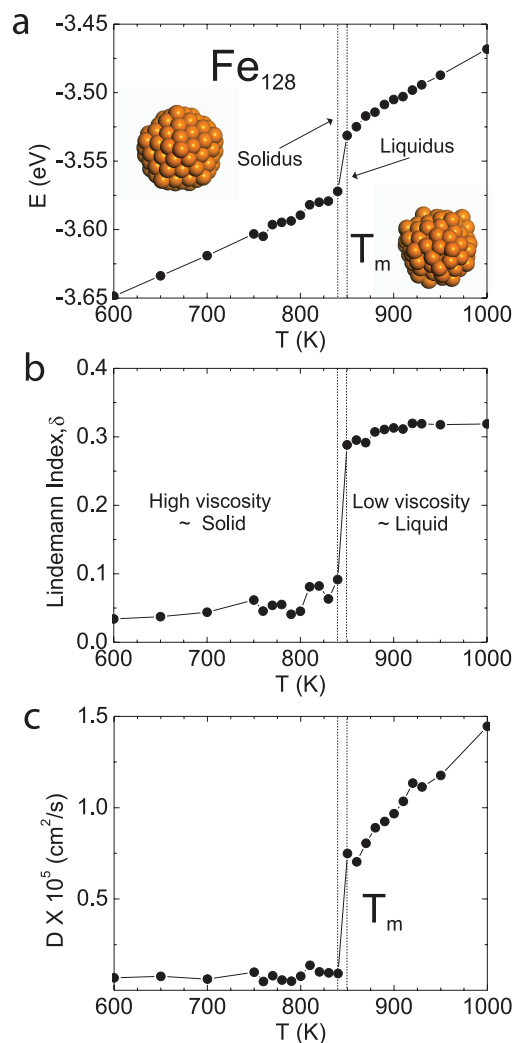


Figure 1. (a) Total energy. Specific heats in the liquid and solid phases; (b) Lindemann index, δ , and sudden variation in the long-range order on the cluster; (c) diffusion coefficient with different behavior in the liquid and solid phases.

cause of the GT melting depression.^{15,30–32} Using MD, we monitor δ , starting from below T_m (estimated from the GT relation¹⁵), while applying heat in small increments to drive the system across the phase transition. High/low values of δ identify the liquid/solid state, respectively. Concurrently, we monitor the self-diffusion coefficient calculated within the Green–Kubo formalism.⁵⁶

We limit our study to pure Fe particles for three reasons. First, it has been shown with *ab initio* molecular dynamics that pure Fe nanoparticles can grow CNTs.³³ Second, active Fe particles equilibrate into a solid solution Fe–C mixture,¹⁷ as nucleation of ordered Fe₃C can be detrimental for growth.¹⁶ (This argument has also been extended to Fe–Mo–C mixtures in ref 34). Third, with solubility of C in Fe reducing with decreasing particle size,¹⁷ the main effect of solute C is to further reduce the melting point (Fe–C liquidus³⁵), unless the particle size becomes

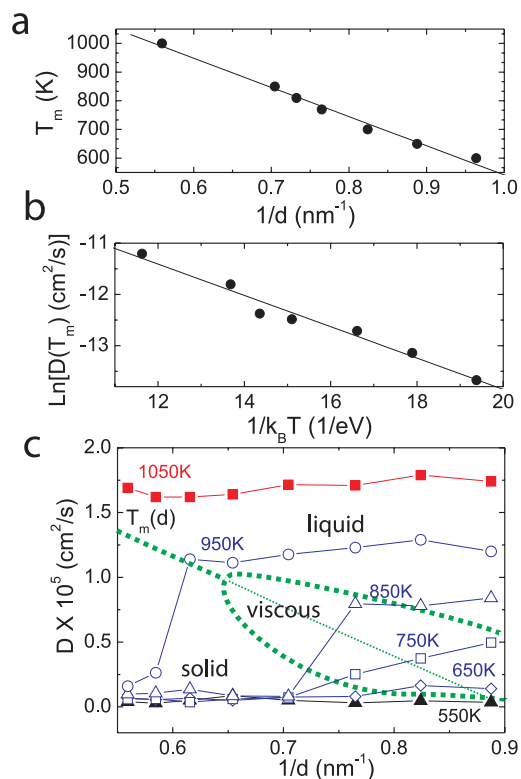


Figure 2. (a) Maximum solid point T (liquidus), T_m , as a function of diameter. (b) Arrhenius behavior of the diffusion coefficient at the melting point. Linear fits are shown. (c) Diffusion coefficient as a function of diameter at six different T . The viscous region is defined as the range of sizes and T in which the diffusion coefficients is between solid and liquid values and grows continuously.

too small to promote nucleation of Fe₃C, (ref 36), destabilizing the CNT growth.¹⁷

A Fe₁₂₈ cluster is our first case study. We consider T increasing from 600 to 1000 K, the typical range for high quality CNT growth.^{1–4} Figure 1a shows the caloric curve $E(T)$ (energy per atom as a function of T). The discontinuity in $E(T)$ equals the latent heat ΔH . From the caloric curve we get $T_m = 850$ K. Figure 1b depicts δ for the same particle. After an oscillating behavior of the precursors at $T \approx 800–840$ K, long-range disorder is reached at $T = 850$ K. Owing to the finite size of the particle, melting in a single-species cluster is not a zero-dimensional invariant point, unlike in the case of bulk materials,^{15,19} as it occurs in a range ΔT_m . In our case ΔT_m is 840–850 K, where the solid and liquid phases co-

TABLE 1. Nanotube Length vs Nanoparticle Diameter^a

average Fe nanoparticle, diameter (nm)	average carbon nanotube, length (μm)
1.2	1083
2	813
3	639

^aAverage SWNTs length, L_i , produced from nanoparticles with increasing diameters, $2R_i$, over a $\Delta t = 15$ minutes growth time. The average SWNTs length decreases with increasing nanoparticle diameter.

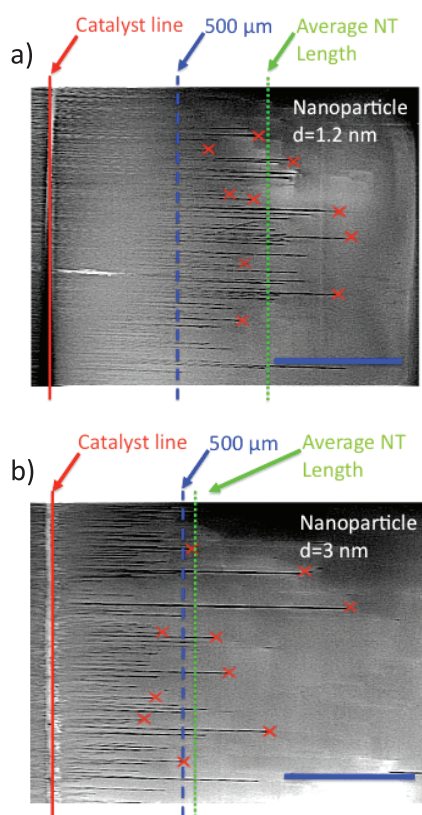


Figure 3. SWNTs grown from metal nanoparticles with different average diameter: (a) 1.2 nm; (b) 3 nm. Red vertical lines indicate the original position of the metal particles. Crosses highlight the end length of some representative tubes. Green vertical lines indicate the average CNT length as derived from SEM from at least 30 CNTs. Blue vertical lines mark 500 μm from the original position of the metal particles.

exist in different fractions and time frames (dynamic coexistence^{15,16,22,31,32,37,38}). We thus introduce two definitions: T_f , the minimum-liquid point (*solidus*), below which the particle is only solid,¹⁵ and T_m , the maximum-solid point (*liquidus*), above which the particle is only liquid.¹⁵ Between T_f and T_m the nanoparticle fluctuates between the solid and liquid states^{32,37,38} and overall resembles a viscous droplet of atoms. Figure 1c plots the diffusion coefficient. At T below T_f , D is similar to the bulk solid ($<1.5 \times 10^{-7} \text{ cm}^2/\text{s}$ ³⁹). At

$T_m = 850\text{K}$, D drastically increases to $D(T_m) = 7.5 \times 10^{-6} \text{ cm}^2/\text{s}$. This is larger than D in the bulk solid and what we find in solid nanoparticles ($<10^{-7} \text{ cm}^2/\text{s}$), but much smaller than D at the bulk melting point in the liquid phase ($4.16 \times 10^{-5} \text{ cm}^2/\text{s}$ ^{26,27}), and similar to previous reports of self-diffusion in molten Fe_{331} : $6.17 \times 10^{-6} \text{ cm}^2/\text{s}$.⁴⁰ Although the molten nanoparticle has a $D(T_m)$ between bulk solid and liquid ($4.16 \times 10^{-5} \text{ cm}^2/\text{s}$ ^{26,27}), the T range of dynamic coexistence enhances this diffusion, Figure 2.

Figure 2a shows T_m of Fe_N for $N = 50-256$ ($d \approx 1-1.7 \text{ nm}$). T_m is estimated from the caloric curve, Lindemann index, and diffusion coefficient, depending on the particle diameter.¹⁵ These results, consistent with previous studies of Fe and other metals,^{15,30,32} are used to correlate with $D(T_m)$. The Arrhenius behavior of $D(T_m)$ is conserved at the nanoscale (Figure 2b), with $E_a = 0.305 \text{ eV}$, $D_0 = 4.33 \times 10^{-4} \text{ cm}^2/\text{s}$. The extrapolation of T_m for bulk Fe $\approx 1558 \pm 40 \text{ K}$ underestimates the experimental value by $\sim 14\%$. The values of D at T_m with these E_a and D_0 are within an order of magnitude of those reported by refs 26 and 40 for the case of infinite Fe ($\sim 10^{-5} \text{ cm}^2/\text{s}$) and a cluster of Fe_{331} ($\sim 10^{-6} \text{ cm}^2/\text{s}$) at the appropriate T_m . Our D values are slightly higher also because the higher surface to volume ratio in the nanoparticles gives a large surface diffusion contribution to the average total D . The calculations consider only floating particles, as the effect of the substrate is to reduce the GT melting depression as a function of particle/substrate interaction,⁴¹ thereby shifting the curves and regions of Figure 2 toward higher T , without necessarily modifying their features.

Figure 2c depicts D as a function of diameter for a set of T and illustrates the size-induced enhanced diffusion. There are three scenarios. (1) *Liquid*. When the particle is liquid, diffusion follows the Arrhenius law dependence of Figure 2b and is size-independent. (2) *Solid*. In this case (low- T or large-size), the particle is solid and D is both T - and size-independent. (3) *Viscous*. Size-induced enhanced diffusion is contained within the region: the particle

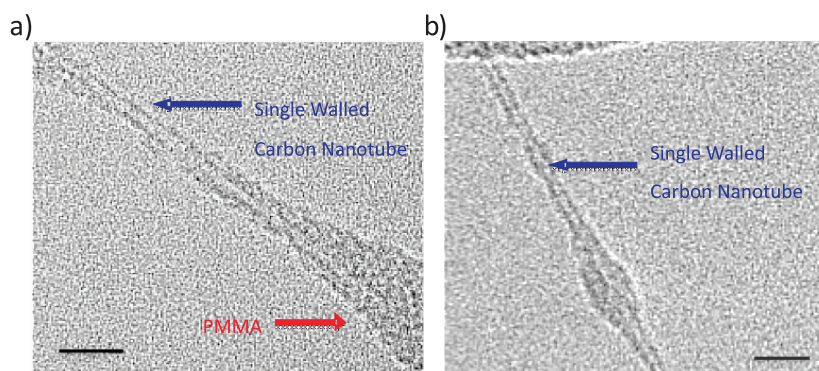


Figure 4. TEM images of nanotubes-grown 3 nm catalysts, showing they are single wall. Some residual PMMA, poly(methylmethacrylate), remains on the tubes after transferring onto TEM grids. (Scale bars: 10 nm).

can be seen as solid or liquid, depending on the observation time frame. Viscosity is driven by dynamic coexistence.^{15,22} For example, in the ranges of Figure 2c ($T = 650\text{--}950\text{ K}$, $d = 1.1\text{--}1.8\text{ nm}$), the clusters are viscous even well below the melting point (e.g., the points at $650\text{--}850\text{ K}$ on the left of T_m). Furthermore, D becomes larger as the nanoparticle size becomes smaller.

Isothermally, size-induced enhanced diffusion might have important consequences for the catalytic growth of nanostructures. We infer the following. During CNT growth at constant T with a distribution of Fe catalysts with $d \geq 1\text{ nm}$, smaller particles are more fluid and their enhanced self-diffusion allows a more rapid surface reorganization, promoting the carbon feedstock transformation. Thus, we expect small clusters to produce CNTs at a faster rate than larger ones. However, if the catalyst size becomes too small, surface-tension dominates over bulk and surface contributions to the free-energy, causing reduced solubility, detrimental for catalytic activity due to nucleation of non active Fe_3C .¹⁸ If diffusion in the viscous region is enhanced, without deactivation, the stress field exerted by the growing CNT will reshape the catalyst.

To validate the above suggestions, we perform two sets of experiments. First, single wall carbon nanotubes (SWNTs) are grown on quartz using variously sized Fe nanoparticles. In order to favor directional growth, thus easier evaluation and comparison of CNT lengths, we use quartz as a substrate.⁴² The growth conditions are the same as for refs 43 and 44 (see Methods for details). The particles are characterized by atomic force microscopy (AFM) and transmission electron microscopy (TEM). Growth is carried out under identical conditions for all catalysts diameters. Scanning electron microscopy (SEM) is used to measure their length (Table 1). We find that smaller diameter nanoparticles result in longer SWNTs (see Figure 3). TEM confirms that the CNTs are SWNTs, as illustrated in Figure 4.

A dimensional analysis can be performed with the values of Table 1. Let us consider steady state growth of nanotubes. If the dissociation rate is proportional to the surface area of the catalyst (βR^2 , where β is a process-dependent proportionality constant and R is the radius of the particle), and the mobile carbon atoms are required to drift with a time-constant τ a distance of the same order of magnitude of the particle (through surface-, subsurface- or bulk-diffusion), then we obtain that the rate of available carbon atoms for growing nanotubes is $\beta R^2(R/\tau)$. For a given growth time Δt , $2\pi RL\rho$ atoms are needed to grow a CNT of length L and ρ carbon atoms surface density. Thus, for conservation of mass, we have $\beta R^2(R/\tau)\Delta t = 2\pi RL\rho$. The comparison of two different catalysts' sizes (i, j) within the same process (β, ρ are constant, Δt is chosen, and R, L are observable) leads to $\tau_i L_i/R_i^2 = \tau_j L_j/R_j^2$ and finally to $\tau_i/\tau_j = (R_i^2/L_i)/(L_j/R_j^2)$. For example, by substituting the values of Table 1, we obtain $\tau_1/\tau_2 = 0.27$ and $\tau_2/\tau_3 = 0.35$. The time-constant ratios indicate

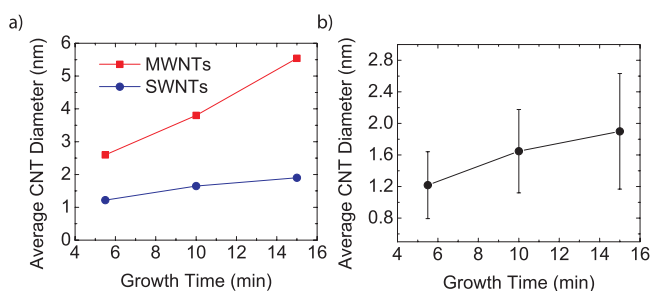


Figure 5. (a) Average CNT diameter as a function of growth time for nanoparticles with (blue) 1–2 nm and (red) 2.5–7.6 nm diameters. (b) SWNT radius and standard deviation. The two quantities increase with time.

that the smaller is the catalyst, the higher is the mobility required for a given supply of carbon atoms to satisfy overall mass conservation.

Besides the catalyst particle size, other factors may prevent CNTs from growing very long. Accordingly, an additional experiment was designed to confirm the values in Table 1. Here, the time-dependence of the CNT diameter distribution is characterized. Using two catalysts diameter distributions ($\sim 1\text{--}2\text{ nm}$ and $\sim 2.5\text{--}7.6\text{ nm}$), three growths are conducted under identical conditions for 5.5, 10, and 15 min. Since larger particles nucleate and grow CNTs slower than smaller catalysts, their observable contribution to the CNT arrays is expected to appear more slowly. Therefore, the average CNT diameter should increase with growth time.

Indeed, Figure 5a shows that the average CNT diameter increases with increasing time, indicating that smaller diameter nanoparticles nucleated first and produce CNTs before larger ones. This happens both in the nanoparticle size regime corresponding to SWNTs as well as MWNTs. This also agrees with the theoretical findings that smaller particles must be more fluid and prone to adapt and diffuse other species.

Furthermore, the diameter standard deviation also increases with time, Figure 5b. This agrees well with theory: the smaller diameter, and therefore more fluid, nanoparticles are predicted to nucleate and grow tubes before larger diameter ones. Thus, as growth time increases, a larger distribution of nanotube diameters is expected.⁴⁵ This distribution broadens to include progressively larger diameter nanotubes within the limits of the catalyst nanoparticles' diameter distribution. Ultimately, this manifests

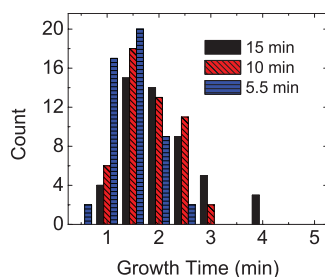


Figure 6. Diameter distributions of samples grown under the same conditions for increasing time.

itself by increasing the average diameter, as well as its standard deviation, with increasing time. These points are further illustrated in the histograms in Figure 6.

CONCLUSIONS

We identified, with a combination of thermo-kinetic theory and growth experiments, a viscous

state of nanoparticles near their melting point. This state exists over a temperature range scaling inversely with the nanoparticle size. The enhanced self-diffusion and the consequent fluidity allow a smaller catalyst nanoparticle to better adapt to external conditions and to transform feedstock at a faster rate than larger catalysts.

METHODS

Molecular Dynamics. Classical molecular dynamics simulations are carried out in a canonical ensemble using the Verlet algorithm⁴⁶ with a time step $\tau = 1$ fs and Nose–Hoover thermostat.⁴⁷ We build small Fe nanoparticles with $N < 256$, corresponding to $d \approx 1–1.8$ nm, relevant for the most common SWNT diameter distribution. For simplicity, we consider floating particles. The substrate–Fe nanoparticle interaction was shown to change the melting depression,^{15,48} which can be parametrized in the GT equation through an effective radius.^{41,49} The Fe–Fe interactions are described as a sum of a Born–Mayer-type repulsive and many-body attractive energy terms,⁵⁰ where the coefficients are obtained by fitting the cohesive energy, lattice parameter, and elastic constant of γ -Fe.⁵¹ The potential landscape was shown to be adequate to reproduce CNT growth,⁵² the melting depression, and the Fe–C eutectic point.¹⁵ For the initial particle configuration we perform simulated annealing, to reach stable minima.¹⁵ Gathering of energies and other averages is performed over 20×10^6 MD steps.

The statistical-bond-length order parameter Lindemann index is defined as^{24,53}

$$\delta = \frac{2}{N(N-1)} \sum_{i < j} \frac{\sqrt{\langle r_{ij}^2 \rangle - \langle r_{ij} \rangle^2}}{\langle r_{ij} \rangle} \quad (1)$$

where r_{ij} is the distance between atoms i and j , N the number of particles, and the average is calculated over an MD run at a given T . We identify melting when the index is > 0.25 .¹⁵ Extrapolating for $d \rightarrow \infty$ we estimate T_m for bulk Fe $\approx 1558 \pm 40$ K, underestimating the experimental value by $\sim 14\%$.⁵⁴ The diffusion coefficient is defined as^{55,56}

$$D = \frac{1}{3N} \int_0^\infty \langle \sum_{j=1}^N v_j(t) v_j(\tau) \rangle dt \quad (2)$$

where $v_j(t)$ is the velocity of atom j at time t . We initialize $v_j(t)$ at every time step, as in ref 56.

In analogy to previous studies of for solid–liquid transition in small argon clusters,^{57,58} here we use the change in D as indication of phase transition in metallic nanoparticles.

Experimental Section. Two sets of growth experiments are conducted. In the first, we grow CNTs using different-sized Fe nanoparticles. Preparation of the catalysts is accomplished by using the same amount of PVP (polyvinylpyrrolidone) (0.006 g, MW = 55 k) and EtOH (15 mL) but with different FeCl₃ concentrations, as outlined in refs 43 and 44. Growth is then carried out under identical conditions for all nanoparticles, as for refs 43 and 44, using EtOH/MeOH (150 sccm/300 sccm) and H₂ (450 sccm) on quartz substrates^{43,44} at 750 °C for 10 min under flowing H₂ followed by EtOH/MeOH/H₂ at 900 °C for 15 min. The tube lengths are measured using SEM and averaged from at least 30 samples. Nanoparticle diameters are measured using tapping mode AFM after spin coating quartz wafers at 2000 rpm for 45 s and removing the PVP by O₂ plasma treatment for 15 min. Diameters are averaged from at least 50 nanoparticles over a minimum of three different samples for each size distribution.

The second batch of experiments is conducted using two Fe catalysts: one to produce tubes with diameters between 1 and 2 nm, the other to achieve diameters between 2.5 and 7.6 nm. The first is used to measure the average SWNT diameter as

a function of growth time. The second for the same measurements on MWNTs. Growth is conducted as for refs 43 and 44, with the growth time varied from 5.5 to 15 min. Following growth, the diameter distribution is analyzed using tapping mode AFM. Care is taken to tune the amplitude of the cantilever oscillation to ensure negligible nanotube depression from the cantilever tapping. Data are taken from at least 50 tubes over a minimum of three different samples for each growth time.

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